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Docket No. RSW9-99-129

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: K. Attwood, et al

Serial No.: 09/502,478

Group No.:

Filed: 2/11/2000

For: Technique of Defending Against Network Connection Flooding Attacks

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Assistant Commissioner of Patents

Wshington, D.C. 20231

CERTIFICATE OF MAILING UNDER 37 CFR 1.8(a)

I hereby certify that the following attached correspondence comprising:

1. Information Disclosure Statement and Form PTO-1449 and 4 references.
2. Business Reply Post Card

is being deposited with the United States Postal Service as first class mail in an envelope addressed to:

Assistant Commissioner of Patents
Washington, D.C. 20231

on March 7, 2000

Catherine M. Robbins
(Name of person mailing paper or fee)

Catherine M. Robbins
(Signature of person mailing paper or fee)

#3

IN THE UNITED STATES PATENT & TRADEMARK OFFICE



In re application of : March 7, 2000
K. Attwood, et al : IBM Corporation
Filing Date: 2/11/2000 : Dept. T81/Bldg. 062
Serial No. 09/502,478 : P.O. Box 12195
For: Technique of Defending Against Network : RTP, NC 27709
Connection Flooding Attacks : Group:

INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents
Washington, DC 20231

Sir:

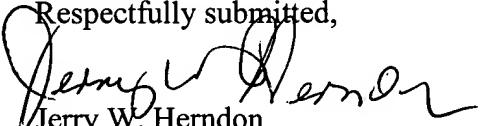
Applicants submit herewith patents, publications or other information of which they just became aware, and of which there may be a duty to disclose in accordance with 37 CFR 1.56.

While this Information Disclosure Statement may be "material" pursuant to 37 CFR 1.56, it is not intended to constitute an admission that any patent, publication or other information referred to herein is "prior art" for this invention unless specifically designated as such.

In accordance with 37 CFR 1.97(g) the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 CFR 1.56(a) exists.

The attached form, PTO-1449, provides a listing of patents, publications, or other information as required by 37 CFR 1.98(a)(1).

A copy of each of the items on PTO-1449 is supplied herewith.

Respectfully submitted,

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FORM PTO-1449 (Modified)

LIST OF PATENTS AND PUBLICATIONS
FOR APPLICANT'S INFORMATION
DISCLOSURE STATEMENT

(Use several sheets if necessary)

ATTY. DOCKET NO.

RSW-9-99-129

SERIAL NO.

09/502,478

APPLICANT: K. Attwood, et al

FILING DATE:

2/11/2000

GROUP:

REFERENCE DESIGNATION

U. S. PATENT DOCUMENTS

EXAMINER INITIALS	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	TECH CEN ER	ARRI E DATE 2000	FILING DATE (IF APPRO.)	REC'D ED

FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO

OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)

AR	"Behind the Hack Attack", Time Magazine, article by: Chris Taylor (4 pages)
AS	"False Requests Flood, Shut BLS Web Site", By Rajiv Chandrasekaran, Washington Post Staff Writer, 1/9/98; Page G03

EXAMINER	DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.